

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2274687		
<b>Application Number:</b>	09643227		
<b>International Application Number:</b>			
<b>Confirmation Number:</b>	8865		
<b>Title of Invention:</b>	Apparatus and method for adding liquidity to an ECN and improving executions of orders for securities		
<b>First Named Inventor/Applicant Name:</b>	Omar Amanat		
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<b>Filer:</b>	Timir Dilip Chheda/Neva Dare		
<b>Filer Authorized By:</b>	Timir Dilip Chheda		
<b>Attorney Docket Number:</b>	T30364US		
<b>Receipt Date:</b>	03-OCT-2007		
<b>Filing Date:</b>	22-AUG-2000		
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<b>Application Type:</b>	Utility under 35 USC 111(a)		

### **Payment information:**

Submitted with Payment	no
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### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		Resp_OA_8-10-2007.pdf	52155 f3ec23d048759971092fec2055887abb c51da9ac	yes	10
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>		<b>Start</b>	<b>End</b>		
Amendment After Final		1	1		
Claims		2	8		
Applicant Arguments/Remarks Made in an Amendment		9	10		

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	52155
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.